

The Wharton School
University of Pennsylvania

RESPONSIBILITY IN GLOBAL MANAGEMENT

LGST 611

1:30pm – 2:50pm

Instructor

Prof. William S. Laufer
Department of Legal Studies and Business Ethics
670 Huntsman Hall
lauferw@wharton.upenn.edu

Overview

This course is an introduction to some of the significant legal and ethical challenges facing the management of global businesses. It is designed to raise difficult ethical, governance, and legal challenges and dilemmas, and to provide plausible frameworks for dealing with those conflicts. The course is also designed to reveal common patterns of management success and failure. Class sessions will consist of collaborative discussions about questions of law and ethics in the context of complex business decisions.

The class is divided into four subject matter sections: Business Ethics, Corporate Criminal Law, Global Compliance, and Ethics, Accountability, and Technology.

Class Materials

All assigned reading materials will be presented/distributed in class or posted on CANVAS.

Grading

1. Class participation (20% of final grade)
2. Final exam (80% of final grade). A take-home essay final exam will require the analysis of a series of case studies, making use of the assigned materials and class discussions. The exam will be open-book and open-notes. Your exam should be submitted on CANVAS at or before the announced deadline.

Class 1. Wednesday, August 28th

Business Ethics I

Zimpel, Johnson Controls, and Merck (all presented/distributed in class)

Assignments:

1. Alan Strudler, Deception Unraveled, Journal of Philosophy (2005)
2. Milton Friedman. "The Social Responsibility of Business is to Increase its Profits," New York Times Magazine (1970)
3. Business Roundtable Statement (2019)

Accountable Capitalism Act

Class 2. Wednesday, September 4th

Business Ethics II

The Ethics of Sweatshops

Assignments:

1. Matt Zwolinski, Sweatshops, Choice, and Exploitation, Business Ethics Quarterly, Vol. 17, No. 4 (Oct., 2007), pp. 689-727
2. Stern Center Report on Rana Plaza

Values in Tension

Class 3. Monday, September 9th

Business Ethics III

The Case of Padma Bridge

Assignments:

1. World Bank Statement on Padma Bridge (available at: <https://www.worldbank.org/en/news/press-release/2012/06/29/world-bank-statement-padma-bridge>)
2. Daniel Binette, When Should Corruption Be Tolerated? The Case of the Padma Bridge (available at: <https://globalanticorruptionblog.com/2015/11/06/when-should-corruption-be-tolerated-the-case-of-the-padma-bridge/>)

Modern Forms of Corruption

Corporate Criminal Law I

Substantive Criminal Law

Assignments:

1. Principles of Federal Prosecution of Business Organizations (available at: <https://www.justice.gov/jm/jm-9-28000-principles-federal-prosecution-business-organizations>)
 2. William S. Laufer, Corporate Bodies and Guilty Minds, Emory Law Journal (1994)
A Special Regulatory Milestone
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Class 5., Monday, September 16th

Corporate Criminal Law II

Siemens

Assignments:

1. Sections from: Laufer, W. S., CORPORATE BODIES AND GUILTY MINDS: THE FAILURE OF CORPORATE CRIMINAL LIABILITY (2008)
 2. Baker & McKenzie, ESSENTIAL ELEMENTS OF CORPORATE COMPLIANCE: A GLOBAL TEMPLATE (2015)
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Class 6. Wednesday, September 18

Corporate Criminal Law III

Current issues in corporate criminal liability

Assignment:

1. Laufer, W. S. Progressive Corporate Criminal Law (2017)
2. Laufer, W. S. Demonizing Wall Street (2019)

Demonizing Wall Street?

Global Compliance I

Evaluation of fraud and corruption risks to UNICEF

Assignment:

1. TBA

The New Age of Monitoring

Class 8. Wednesday, September 25th

Global Compliance II

Corporate Compliance in India

Assignment:

1. TBA

How does the practice of corporate criminal law in the United States affect India?

Class 9. Monday, September 30th

Global Compliance III

Anti Trust Law in Japan

Assignment:

1. TBA

How does US law apply extraterritorially in Japan?

Class 10. Wednesday, October 2nd

Ethics, Accountability, and Technology I

Global Cyber Threats

Assignment:

1. TBA

Class 11. Monday, October 7th

Ethics, Accountability, and Technology II

TEZOS and its lessons....

Assignment:

1. TBA

Class 12. Wednesday, October 9th

Ethics, Accountability, and Technology III

Thinking about the future of cyber regulation

Assignment:

1. TBA
