UNIVERSITY OF PENNSYLVANIA
THE WHARTON SCHOOL

NEGOTIATIONS

Fall Semester, 2023

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<tr>
<th>COURSE PERSONNEL</th>
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<tr>
<td>Professor:</td>
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<td>Email:</td>
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<td>Office Hours:</td>
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<td>TA:</td>
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<tr>
<th>COURSE INFORMATION</th>
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<tbody>
<tr>
<td>Course Code:</td>
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<td>Course Location:</td>
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<td>Course Times:</td>
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<tr>
<td>Section 402</td>
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<tr>
<th>COURSE OVERVIEW</th>
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We negotiate daily with potential employers, co-workers, bosses, landlords, merchants, service providers, partners, parents, children, friends, roommates, and many others. Our negotiation skills affect the prices we pay, the salary we earn, what movie we watch, and who will clean up the kitchen. Most of us, however, spend little time considering what factors make us more (or less) effective at negotiating. Instead, we negotiate on autopilot, doing what comes naturally to us or what we have learned in the past. This course provides an opportunity to closely examine the art and science of negotiation, with the explicit aim of helping you become a better negotiator.

Using available research, but also drawing on personal experience, we will examine everything from the nitty-gritty mechanics of first offers to the dynamics of coalition formation. We will explore topics such as status, power, influence, and humor. We will learn basic truths about how people negotiate, but also how this varies across gender, personality, and culture. We will see what a positive impact negotiating can have on our personal and professional lives, but also the biggest mistakes people make and how we might fix them.
Over the course of the semester, you will engage in a series of (increasingly complex) negotiation simulations, which will allow you to work with classmates to discover new insights, experiment with new tactics, hone your skills, and ultimately develop a negotiation style that works for you.

### COURSE OBJECTIVES

As we examine negotiation more closely, you will find that there is no one right way to negotiate. Different approaches and styles may be more or less effective depending on the situation, your personality traits, your place in the status hierarchy, the strategy of your counterparty, the value of your alternatives, and so forth. This basic truth leads to our two main objectives for the course.

**Theory:** Even though there is no strategy that works across all situations, there is still tremendous value in thinking through what things generally lead to successful negotiation. Using research as a guide, we will cover questions like: What are the costs and benefits of making the first offer? How should you frame a concession? What are anchoring and sandbagging? What are the pros and cons of having power in a negotiation? Does body language matter—or is that pseudo-science? When is appealing to principles of fairness a good strategy? How does one build (or ruin) a good reputation? If you are trying to get someone to do what you want, should you appeal to their emotional selves or their rational selves? What makes some people so stunningly persuasive? And how do the answers to all these questions vary across race, gender, and culture?

**Practice:** To learn anything well, it is critical to put theory into practice. To do so, we will rely heavily on live exercises in which you will negotiate with your classmates. I encourage you to take a moment to recognize the value of this opportunity: The ability to experiment with different negotiation strategies in a low-stakes environment is not something that you can easily do in your normal career, where you will find yourself in high-stakes situations. So, while I hope you will take pride in your performance every week, by all means, try new things, add tactics to your toolkit—fail if need be. I strongly encourage you take this opportunity to experiment with different approaches and find what works for you personally.

**Specific Course Goals:**

- Improve your ability to negotiate
- Improve your ability to analyze other people’s behavior
- Learn how to develop a strategic negotiation plan
- Develop a toolbox of negotiation tactics
- Gain a greater understanding of your personal strengths and weaknesses
- Gain confidence as a negotiator

In short, by combining theory and practice, my goal is to help you come out of this course a more analytically savvy and personally effective negotiator.

### COURSE FORMAT

Here’s what a typical week looks like (although please read the syllabus carefully for exceptions – e.g., some weeks don’t have Monday class, some negotiations don’t require preparation, etc.).
COURSE READINGS & MATERIALS


Additional Readings: Readings are available on Study.net.

Canvas Course Site: Canvas is the hub for the course. The course site on Canvas includes all things course related such as announcements, lecture videos, planning documents, readings, assignments etc. Please visit the course site regularly because it will be updated often.

The Canvas site is structured around weekly Modules. The module contains the planning document (when applicable) or other assignment for that week, readings for the week, etc.

iDECISIONGAMES

iDecisionGames.com is an online platform we will use to access negotiation exercises and record negotiation outcomes. You will need to register online and pay a user fee (about $25). Apologies for the extra cost, but I promise, it’s worth it.

Registering for iDecisionGames.com involves five simple steps:
- **Step 1:** Use Google Chrome Browser (required for iDecisionGames)

- **Step 2:** Go to:
  
  - Section 402 [https://idecisiongames.com/promo-home?code=Wharton-Negotiations-2023-1](https://idecisiongames.com/promo-home?code=Wharton-Negotiations-2023-1)
  
  
  - Section 404 [https://idecisiongames.com/promo-home?code=Wharton-Negotiations-2023-3](https://idecisiongames.com/promo-home?code=Wharton-Negotiations-2023-3)
  
  - Section 405 [https://idecisiongames.com/promo-home?code=Wharton-Negotiations-2023-4](https://idecisiongames.com/promo-home?code=Wharton-Negotiations-2023-4)

***PLEASE NOTE YOUR SECTION***

<table>
<thead>
<tr>
<th>Course Times:</th>
<th>Mondays &amp; Wednesday</th>
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<tbody>
<tr>
<td>Section 402</td>
<td>10:15am – 11:45am</td>
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<tr>
<td>Section 403</td>
<td>12:00pm – 1:30pm</td>
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<tr>
<td>Section 404</td>
<td>1:45pm – 3:15pm</td>
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<tr>
<td>Section 405</td>
<td>3:30pm – 5:00pm</td>
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If this link above doesn't work, you can also register by going to idecisiongames.com and entering the following access code: Wharton-Negotiations-2023-1 (Section 402); Wharton-Negotiations-2023-2 (section 403); Wharton-Negotiations-2023-3 (section 404); Wharton-Negotiations-2023-4 (section 405).

- **Step 3:** Make sure to use your school email address and the name that you wish to be called in class.

- **Step 4:** You will receive an email requesting that you confirm your email address. If you haven't received this email, check your spam folder. If you have any registration issues, contact support@idecisiongames.com

- **Step 5:** Join each weekly negotiation to receive role instructions, answer surveys, etc.

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**GRADING**

Grades are determined according to the following rubric:

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<tbody>
<tr>
<td><strong>Negotiation</strong>*</td>
<td>40%</td>
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<tr>
<td>Planning Documents</td>
<td>30%</td>
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<tr>
<td>Peer Rated Preparation Score</td>
<td>10%</td>
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<tr>
<td><strong>Class Participation During Debrief</strong></td>
<td><strong>20%</strong></td>
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PLANNING DOCUMENTS

As you will quickly learn, proper planning is key to success in negotiation.

“Negotiation power is not something of which you have a certain quantity that can be applied anywhere for any purpose. It requires hard work in advance to bring your resources to bear on being persuasive in a particular situation.” (Fischer, Ury, & Patton, 2011)

Writing down your plan will force you to get clear on what exactly your plan really is. In my experience, it’s often the case that I think my thoughts are clear until I try to write them down, only to realize how dreadfully unclear they were all along.

Not all negotiations require a planning document, but most will.

The planning document questions, and due dates associated with each negotiation, will be available on Canvas.

Your completed planning document should be uploaded to Canvas.

Grading:

Each planning document will be graded on a 0-3 scale:

3 = Exceptionally thorough, well-reasoned, and creative (reserved for top 10-20%)
2 = Thorough, well-reasoned, and creative
1 = Minimally thorough, well-reasoned, and creative
0 = Submitted late or not submitted at all

NEgotiation exercises

Given the experiential nature of the course, attendance is absolutely mandatory. You are expected to be prepared, attend, and actively participate in every negotiation exercise.

*The single most important thing you can do to succeed in this class is to attend and participate fully in each negotiation exercise*

You may miss one negotiation exercise without penalty, under the following condition: You let me know by 9pm the day before the negotiation that you are going to miss class.

If I don’t hear from you, I will assume you will be there. If you then fail to show up, you put the
whole class at a disadvantage. We have to create new pairings/groups to accommodate your
absence. This wastes people’s time and degrades the learning experience.

The penalty for missing a negotiation without notifying me or missing more than one negotiation is
a full letter grade (e.g., B to C) for each negotiation missed.

Additional principles to abide by for the negotiation exercises:

1. Conscientious preparation of negotiation exercises is essential. Read the negotiation
exercise before class and prepare your strategy. Under no circumstances is it acceptable to
adopt the attitude, “I didn’t try because it was not a real negotiation.” Failing to take an
exercise seriously (or pretending to have not taken it seriously after you perform poorly) is
unfair to your counterpart, who is counting on you to provide a realistic experience.

2. Maintaining confidentiality of role information. For each negotiation exercise, you
will receive “confidential role information.” This information is for your eyes only. You are
not allowed to show or discuss your confidential role information with anyone else, at any
time (including classmates playing the same role as you). During the course of the
negotiations themselves, you are allowed to say whatever you want, but you CANNOT show
your confidential role information to the other party, or reveal your point structure, etc. A
violation of this rule is considered to be a violation of the Wharton honor code.

3. Do not make up facts or information that materially change the power distribution
of the exercise. For example, you should not lie about your BATNA. You can dodge and
palter and omit things (if you choose to adopt these strategies), but don’t lie about having an
amazing alternative offer that doesn’t exist.

4. Do not seek out outside information or discuss the cases with other people. Do not
seek out information about a negotiation exercise prior to participating in it; for example, by
asking someone who has already participated in the simulation in another class–this will ruin
the experience for everyone involved. This principle will be upheld as part of the Wharton
Honor Code.

5. You may use any strategy to reach an agreement. But, in selecting a negotiation
strategy, bear in mind that certain strategies have consequences that go beyond the particular
negotiation in which it was used. Reputations developed in class can have long-term
consequences.

*Note: Negotiation outcomes will NOT be graded. Grading tends to discourage experimentation.
Moreover, grading tends to incentivize people to behave aggressively, and while this may work in
some limited circumstances, it tends not to be a good strategy in the real world.

**YOUR DATA & OUTCOMES**

The outcomes of your negotiations will be recorded, and we will debrief people’s performance in
class as a way to learn. Once the semester is over, aggregate, statistical information may be used for
future classes or research. In some cases, I may have you negotiate over video chat and record your
negotiations. I may use clips of your video recordings as instructional material for future classes. If you are uncomfortable with this possibility, please contact me.

## POST-NEGOITIATION

### 1. DEAL SHEET

After every negotiation, you and your counterpart must complete one electronic deal sheet on iDecisionGames. The results will be discussed in our debrief.

**DUE DATE:** The deal sheet must be completed immediately after the negotiation (or by 11:59pm the night of the negotiation at the latest).

### 2. POST-NEGOITIATION SURVEY

Immediately after you input the terms of your agreement on your deal sheet, you will be asked a few questions about your experience in the negotiation and your counterpart. Some post-negotiation surveys will include an extended section with further questions about your experience, designed to produce interesting material for class discussion.

**Peer Rated Preparation Score:** As part of this survey, your negotiation counterpart(s) will assess your level of preparation and engagement in the exercise. A central purpose of this is accountability. You will be asked to evaluate your counterpart’s preparation and your counterpart will be asked to evaluate your preparation. Your counterparts will not see your individual ratings, and you will not see their individual ratings of you. Your negotiation preparation score will be determined by the average of the ratings you received from all your counterparts during the semester and counts for 10% of your final grade.

## CLASS PARTICIPATION & PROFESSIONALISM

**Attendance on non-negotiation days.** Attendance is required on days in which there is no negotiation exercise. When you are absent, you will miss out on learning from your classmates’ experiences and your classmates will miss out on learning from your experiences.

**Contribution.** Participation in class discussions will be evaluated based on the quality of your contributions and insights. After each negotiation exercise, a debriefing session will include sharing information about results, strategies attempted, and reactions to the process. You are expected to be an active participant in these debriefing sessions.

I seek to get as many people involved in the discussion as possible. This may involve cold calling and generally encouraging participation. I do, however, recognize that some people have a substantial aversion to talking in groups. If this applies to you, please contact me at the start of the term.

After each debrief/class discussion/lecture day, everyone gets a numeric evaluation:
**Grading:** Participation is graded on a 0-3 scale
3 = Present + high quality participation (reserved for top 10-20%)
2 = Present, on time, and engaged
1 = Present but late or otherwise disengaged
0 = Absent

**Professionalism and respect for the learning environment.** You are expected to conduct yourselves in a professional manner. This includes a commitment to helping build an inclusive community, where everyone feels respected and can learn from the many perspectives that come from having differing backgrounds and beliefs. The diversity that we all bring to this class is a resource, strength, and benefit.

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**MID-TERM**

**Mid-term Reflection Video.** You will submit a short (~10 mins) video reflecting on your negotiation performance thus far (strengths and weaknesses, etc.).

**Date:** Your video will be due 11:59PM Wednesday Oct 11th

**Mid-term Exam (If needed***)**. When you negotiate, you must have knowledge at your fingertips. The purpose of the mid-term exam is to make sure that you have learned some of the basic negotiation concepts and have them at the ready. The exam will cover the concepts discussed in class and in the readings, with an emphasis on in-class discussions and lecture material. The test consists of multiple choice and short-answer questions. You will have 80 minutes to complete the exam. The exam is closed notes.

*** If the combined performance of class on negotiations and class discussions surpasses a certain threshold, the exam will be canceled. Basically, if I’m confident that most people understand the key negotiation terms and basics, the exam is not necessary. ***

**Date:** The date of the exam will be in class on **Monday Oct 9th** *if needed*

**Late or missed exams:** All students must be in attendance on the day of the exam. There are no make-up exams.

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**FINAL REFLECTION PAPER / PROJECT**

For the Final Paper, you have three options:

**OPTION 1:** Analyze a real-world negotiation that you have participated in during the term. For this negotiation you should negotiate something of personal value to you. Your paper should report on your plan, your strategy, and the negotiation results. You can negotiate for anything you would like—a salary from a potential employer, your monthly rent, a Moroccan rug, a fancy new watch, etc. I only ask that you take it seriously, make it something relevant to your life, and have concrete learning objectives in mind.
If you want, you can also negotiate several separate things, although if you choose to do this, you need to link the different experiences together in your paper. For example, you could negotiate several smaller things with different strategies and compare and contrast the results. Or you could negotiate one consumer item and one personal issue and explore the differences. Again, the specifics of what you do are less important than doing something that is of value to you and putting in a reasonable amount of effort.

Ground Rules for the Negotiation:

- **Do not reveal the assignment:** You can’t use lame pleas like, “Please just help me out, this is for a class...” You may not disclose this information until the negotiation is complete.
- **No purchase necessary:** You do not have to buy anything to complete this assignment. You may choose to be the seller or enter a negotiation that does not involve financial terms.
- **Good faith effort:** It must be possible and you must be willing (at some price or under some conditions) to acquire the item for which you are negotiating. Don’t try to buy a Maserati for five bucks or convince you friends to go to Siberia instead of the Seychelles for winter break.
- **New negotiation:** You are required to conduct this negotiation during the semester so that you can apply the knowledge that you have gained in the course.
- **Boundaries:** You cannot use negotiation tactics that may be considered unethical, illegal, or potentially harmful to yourself or others.
- **Failure is an option:** Often, we learn as much from negotiations that fail as those that succeed. However, if you do fail, please seek feedback from your counterpart on how you could have negotiated more effectively—and write about what you learned.

**OPTION 2:** As a variant of the previous assignment, instead of writing about a current negotiation that has happened this semester, you can analyze a past negotiation. If you choose this option, the guidelines for the paper are the same. I recognize that there can be tremendous value in reflecting on a significant negotiation from the past, sometimes more so than scrambling to negotiate something new.

**Guidelines for Writing the Final Paper:**

**Facts.** Give a basic description of the facts of the negotiation. What interesting or surprising things happened? Give enough information for me to understand your subsequent reflection and analysis, but do not spend more than 1/3 of the paper simply reciting the facts.

**Reflection and Analysis.** This should be the most significant aspect of your paper. Some issues to address include:

**Mistakes.** What did you do that you should not have done (i.e. opened too soon; trusted too much, made a concession too quickly) and/or what did you not do that you should have done (i.e. asked more questions, closed with more clarity, etc.)?

**Insights into your personal style/habits/instincts.** What personal insights can you take away from this experience to improve for next time? Use the facts to support your analysis.
**Insights into your negotiating counterparty.** Analyze your counterparty’s behavior in detail. Was your partner skilled? What did he or she do well? How did you size up your counterparty and adjust your strategy? Did it work?

**Tools/Concepts/Models/Readings.** How, if at all, did this negotiation relate to the negotiation tools, tactics, concepts, readings, research, or conceptual models we have learned about in class?

**Grading:** Late final papers will be penalized by a full letter grade (e.g., B to C) each 24-hour period of lateness. Any final paper not turned in within 3 days of the due date will receive zero credit.

**Deliverables and Due Dates**

- Due: TBA 11:59PM
- Length: Approximately 5-6 double-spaced pages.
- Format: 12-point Times New Roman font. Files must be in Word (.doc or docx).
- How to submit: Upload the paper to Canvas

**OPTION 3: *WILDCARD***

If you would prefer to do something more offbeat and creative instead of writing a paper, I am open to ideas. To inspire you, here are some great examples of projects students have done in the past: a research paper on gender differences in negotiation, a consulting-style PowerPoint deck breaking down an important facet of negotiations that we didn’t cover in class, writing a new negotiation case from scratch.

Here are some examples of the more creative projects that I have seen: Animated toolkit of negotiation tactics, a comic strip, an oil painting, an indie rock anthem.

It can be anything you want, as long as you take it seriously, it represents a real commitment of time and effort, and it’s something related to negotiation that you personally will get value from—that is our goal here.

If you choose this option, you need to run it by me for approval at least 2 weeks before the due date for approval.
# COURSE SCHEDULE

<table>
<thead>
<tr>
<th>Week</th>
<th>Topic &amp; Agenda</th>
<th>Readings</th>
<th>Assignments</th>
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<tbody>
<tr>
<td><strong>I. NEGOTIATION BASICS</strong></td>
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<tr>
<td><strong>Week 1</strong></td>
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<tr>
<td>• Monday, Aug 28: Course Intro</td>
<td>None</td>
<td>- Make <a href="#">iDecisionGames</a> account due before class Monday</td>
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<tr>
<td></td>
<td>Negotiation Exercise Exercise &amp; Debrief</td>
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<td>- Post-negotiation: Deal Sheet &amp; Survey (iDecision) due immediately after negotiation</td>
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<td></td>
<td>Wednesday, Aug 30: Negotiation Exercise</td>
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<td></td>
<td>Course overview: Introduction to negotiation</td>
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<td>- Pre-negotiation: Planning Document (Canvas) due before class Wednesday</td>
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<td></td>
<td>Creating value in a negotiation</td>
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<td>- Post-negotiation: Deal Sheet &amp; Survey (iDecision) due immediately after negotiation Wednesday</td>
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<td><strong>Exercise:</strong> Kukui Nuts</td>
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<td></td>
<td>Claiming value in a negotiation</td>
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<td>- Complete Intake Survey due by 9/1</td>
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<td><strong>Exercise:</strong> BioPharm-Seltek</td>
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<td><strong>Week 2</strong></td>
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<td>• Monday, Sept 4: NO CLASS</td>
<td>Required:</td>
<td>- Just come ready to debrief with your reflections from BioPharm</td>
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<td>Wednesday, Sept 6: Debrief &amp; Lecture</td>
<td>- The negotiation checklist (Simons &amp; Tripp)</td>
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<td>Claiming value in a negotiation</td>
<td>- Six habits of merely effective negotiators (Sebenius)</td>
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<td><strong>Exercise:</strong> BioPharm-Seltek</td>
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<td><strong>Week 3</strong></td>
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<td>• Monday, Sept 11: Negotiation Exercise</td>
<td><strong>Exercise:</strong> New Recruit</td>
<td>- Pre-negotiation: Planning Document (Canvas) due before class Monday</td>
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<tr>
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<td>Wednesday, Sept 13: Debrief &amp; Lecture</td>
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<td>- Post-negotiation: Deal Sheet &amp; Survey (iDecision) due immediately after negotiation Monday</td>
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## II. BUILDING ON THE BASICS: RELATIONSHIPS

### Week 4
- **Monday, Sept 18:** Negotiation Exercise
- **Wednesday, Sept 20:** Debrief & Lecture

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<thead>
<tr>
<th>Exercise</th>
<th>Required</th>
<th>Pre-negotiation</th>
<th>Post-negotiation</th>
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<tbody>
<tr>
<td>Liking</td>
<td>- Harnessing the science of persuasion (Cialdini) - Betting on the future (Bazerman &amp; Gillespie)</td>
<td>Planning Document (Canvas) due before class Monday</td>
<td>Deal Sheet &amp; Survey (iDecision) due immediately after negotiation Monday</td>
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### Week 5
- **Monday, Sept 25:** Negotiation Exercise
- **Wednesday, Sept 27:** Debrief & Lecture

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<tr>
<th>Exercise</th>
<th>Required</th>
<th>Pre-negotiation</th>
<th>Post-negotiation</th>
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<tbody>
<tr>
<td>Disputes and Emotions</td>
<td>- Getting to Yes, Chapters 6-8 (Fisher, Ury, &amp; Patton)</td>
<td>Planning Document (Canvas) due before class Monday</td>
<td>Deal Sheet &amp; Survey (iDecision) due immediately after negotiation Monday</td>
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<tr>
<td>Viking</td>
<td>Optional: - How to use threats at the bargaining table (Galinsky &amp; Liljenquist) - How to defuse threats at the bargaining table (Liljenquist &amp; Galinsky)</td>
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### Week 6
- **Monday, Oct 2:** Negotiation Exercise
- **Wednesday, Oct 4:** Debrief & Lecture

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<tr>
<th>Exercise</th>
<th>Required</th>
<th>Pre-negotiation</th>
<th>Post-negotiation</th>
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<tbody>
<tr>
<td>Trust</td>
<td>- None</td>
<td>Planning Document (Canvas) due before class Monday</td>
<td>Deal Sheet &amp; Survey (iDecision) due immediately after negotiation Monday</td>
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<tr>
<td>Bullard Houses</td>
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### Week 7
- **Midterm Exam (If required) - Oct 9th**
- **Mid-Term Reflection Video - Due Wednesday Oct 11th 11:59 PM**
- **~ NO CLASS Wednesday Oct 11th ~**

### Week 8
- **~ FALL BREAK ~**
## Syllabus

### Week 9
- **Monday, Oct 23:** Negotiation Exercise
- **Wednesday, Oct 25:** Debrief & Lecture

**Exercise:** OPEQ  
**Bonus:** Threeway  
**Required:** Use game theory to shape strategy (Brandenburger & Nalebuff)  
**Optional:** How to manage your negotiating team (Brett, Friedman, & Behfra)

### Weeks 10-11
- **Monday, Oct 30:** Pre-Negotiation Meeting  
- **Wednesday, Nov 1:** Negotiation Exercise  
- **Monday, Nov 6:** Debrief & Lecture  

**Exercise:** Mouse  
**Required:** Getting to si, ja, oui, hai and da (Meyer)  
**Optional:** Negotiating Globally, Chapter 2 (Brett)

### Weeks 11-12
- **Wednesday, Nov 8:** Pre-Negotiation Meeting  
- **Monday, Nov 13:** Negotiation Exercise  
- **Wednesday, Nov 15:** Debrief & Lecture  

**Exercise:** Fastskin  
**Required:** None

### Week 13: Thanksgiving Break  
~ NO CLASS ~

### Weeks 14-15
- **Monday, Nov 27:** Pre-Negotiation Meeting  
- **Wednesday, Nov 29:** Negotiation Exercise  
- **Monday, Dec 4:** Debrief & Lecture  

**Exercise:** Winemaster  
**Required:** None

**- Before Wednesday:** Read Role Sheet (iDecision) due before class Monday  
**- Pre-negotiation:** Planning Document (Canvas) due before class Wednesday  
**- Post-negotiation:** Deal Sheet & Survey (iDecision) due immediately after negotiation Monday

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**FINAL PROJECT DUE:** TBA 11:59 PM